

## "So You Think You Don't Practice 'Securities Law'?" Oregon State Bar CLE

Speaking Engagement  
September 13, 2017  
Tigard, OR

Did you know that drafting documents for seemingly “ordinary transactions” can submerge you into the dark waters of Oregon Securities Law? It’s surprising, but Oregon Securities Law can apply to promissory notes, real estate sales, and fractional interests in anything from retail stores to master music recordings. Still more surprising: even if your documents are prepared perfectly, you may be liable for “aiding an unlawful sale.” To help you navigate these difficult and complex waters, the OSB Professional Liability Fund is sponsoring a free seminar, So You Think You Don’t Practice “Securities Law?”, on Wednesday, September 13, 2017.

This CLE will help you understand your exposure and how to reduce your risk of being sued. Topics include:

The fine line between “ordinary” transactions and transactions that expose you to claims of materially aiding an unlawful sale;

How to recognize transactions that qualify as an Oregon security;

Lawyer liability to non-clients arising out of Oregon securities transactions;

The red flags that signal a problem client or transaction;

How to reduce your liability risk; and

What to expect if a securities claim is made against you.

### **Register now:**

[Register online](#) or by mail by **4:00 p.m. on Friday, September 8, 2017**. A printable registration form is available on the [OSB Professional Liability Fund website](#).

### **Contact**

Daniel L. Keppler

### **Related Services**

Litigation

Securities Litigation & Enforcement

"So You Think You Don't Practice 'Securities Law!'" Oregon State Bar  
CLE

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**Presenters:**

Daniel Keppler, Garvey Schubert Barer

Matthew Kalmanson, Hart Wagner

Sarah Troutt, Claims Attorney, OSB Professional Liability Fund

Emilee Preble, Lead Underwriter, OSB Professional Liability Fund

1.5 MCLE General/Practical Skills credits are pending.

The seminar will be held at the OSB Center, Columbia Rooms A & B, 16037 SW Upper Boones Ferry Road, Tigard, Oregon 97224. Registration/check-in begins at 8:45 a.m. The program will run from 9:00 a.m. to 10:30 a.m.